



JC04 Rec'd PCT/PTO 11 OCT 2005

MAIL STOP PCT  
Patent  
8038-1062

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Takayuki SHIMAMUNE et al.

Conf. 2298

Application No. 10/527,801  
(PCT/JP03/11656)

Group Unknown

Filed March 14, 2005

Examiner Unknown

PROCESS FOR PRODUCING HIGH-PURITY SILICON AND APPARATUS

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

MAIL STOP PCT

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the accompanying Notification of Missing Requirements Under 35 U.S.C. 371 mailed August 11, 2005, we enclose herewith the executed declaration and the accurate English translation which were omitted at the time of filing the application.

The required 37 CFR 1.492(e) surcharge and the 37 CFR 1.492(f) translation fee were both paid at the time of filing the application.

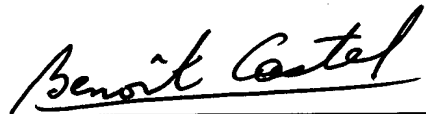
Please note that a claim for small entity status was filed on June 14, 2005, as evidenced by the accompanying copy and post card receipt.

The Commissioner is hereby authorized to charge Counsel's Deposit Account No. 25-0120 the sum of \$2,025.00, as follows:

- \$800.00 for 8 Independent claims in excess of 3, under fee code 2614; and
- \$1,225.00 for 49 claims in excess of 20, under fee code 2615.

Respectfully submitted,

YOUNG & THOMPSON

  
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BC/maf

October 11, 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/527,801	FIRST NAMED APPLICANT Takayuki Shimamune	ATTY. DOCKET NO. 8038-1062
INTERNATIONAL APPLICATION NO. PCT/JP03/11656		
I.A. FILING DATE 09/11/2003		PRIORITY DATE 09/12/2002

00466  
 YOUNG & THOMPSON  
 745 SOUTH 23RD STREET  
 2ND FLOOR  
 ARLINGTON, VA 22202

COPY

CONFIRMATION NO. 2298  
 371 FORMALITIES LETTER



\*OC000000016735976\*

Date Mailed: 08/11/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/14/2005
- Copy of the International Search Report filed on 03/14/2005
- Information Disclosure Statements filed on 03/14/2005
- Request for Immediate Examination filed on 03/14/2005
- U.S. Basic National Fees filed on 03/14/2005
- Priority Documents filed on 03/14/2005
- Power of Attorney filed on 03/14/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/527,801	PCT/JP03/11656	8038-1062

FORM PCT/DO/EO/905 (371 Formalities Notice)

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Takayuki SHIMAMUNE et al.

Conf. 2298

Application No. 10/527,801

Group unknown

Filed March 14, 2005

Examiner unknown

**COPY**

PROCESS FOR PRODUCING HIGH-PURITY  
SILICON AND APPARATUS

SMALL ENTITY CLAIM AND REQUEST FOR REFUND

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

- Applicant hereby claims small entity status under 37 CFR 1.9 and 37 CFR 1.27 and requests that 1/2 of the original filing fee, namely \$515 be credited to Deposit Account No. 25-0120 as this claim is filed within the three-month term.

Respectfully submitted,

YOUNG & THOMPSON

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RJP/tl

June 14, 2005

COPY

8038-1062

THE STAMP OF THE PATENT OFFICE MAIL HEREON ACKNOWLEDGES THE  
RECEIPT OF THE BELOW-IDENTIFIED DOCUMENT ON THE DATE INDICATED  
BY SUCH STAMP.

In re: Takayuki SHIMAMUNE et al.

S.N. 10/527,801

Mail Stop 16

Group

SMALL ENTITY CLAIM AND REQUEST FOR REFUND

REFUND REQUEST IN THE AMOUNT OF \$515.00

RJP/t1

DUE: June 14, 2005

